

BOARD CONFLICT OF INTEREST QUESTIONNAIRE

Name and

Board/Committee: _____

The Conflicts of Interest Policy adopted by the Board of Directors of the District requires disclosure of certain interests. To carry out the purpose of this Policy, you state in this form that you or your family members have the following affiliations, interest or relationships, and/or have taken part in the following transactions that, when considered in conjunction with your position with or relationship to the District and its affiliates, might possibly constitute or give rise to an actual, apparent, or potential conflict of interest as defined under the Policy.

These individuals considered to be "family members" for purposes of this statement include: your spouse, parent, domestic partner, child, or sibling. Check "No" where applicable, or explain any possible conflicts of interest, including all material facts, on this questionnaire. All actual, apparent, or potential conflicts of interest that existed or that exist since the filing of your last Conflicts of Interest Statement (or since the beginning of your Board of Director tenure, Committee tenure, independent contractor, or agency relationship if no prior Conflicts of Interest Statement was filed) should be reported on this questionnaire. In addition, you must report to the Chief Compliance Officer any further financial interest, situation, activity, interest, or conduct that may develop before completion of the next annual questionnaire.

I. BACKGROUND

- A. What position(s) do you hold/relationships do you maintain with respect to the District (i.e., Board member, committee member, etc.)? Also, please indicate the specific District entity, department or program within the Health Care District with which the position or relationship is maintained.

- B. Do you refer patients to the District or an Affiliate for medical treatment? If the answer is "yes," please state the nature of the relationship (i.e., member of the medical staff of a Lakeside Medical Center, Edward J. Healey).

II. OUTSIDE INTEREST

- A. Do you or any of your family members hold, or within the last one (1) year have you or any of your family members held, directly or indirectly, (i) a position or (ii) a material financial non-publicly traded interest in any outside entity with which you or your family member have reason to believe the District (a) does business, including obtaining goods or services, or (b) provides services competitive with the District?

A "material" financial interest is one which involves or has a fair market value of more than One Thousand Dollars (\$1,000.00) or which represent more than 5% of the total ownership interest in the outside entity.

☐ No

☐ Yes-Explain below...

BOARD CONFLICT OF INTEREST QUESTIONNAIRE

- 1) Are you or any of your family members currently engaged, or within the last one (1) year have you or any of your family members been engaged, in any business outside of your duties at the District that in any way does business the District?

☐ No

☐ Yes-Explain below...

- 2) Are you or any of your family members, or within the last one (1) year have you or any of your family members been, an officer or director of any company or organization (for-profit or not-for-profit) other than the District that does business with the District?

☐ No

☐ Yes-Explain below...

- 3) Do you or any of your family members have, or within the last one (1) year have you or any of your family members had, any personal loans, advances, or borrowings from any customer or supplier who also does business with the District? (You may exclude charge cards and personal or mortgage loans at market rates at financial institutions such as bank, finance companies, insurance companies, and savings and loans associations.)

☐ No

☐ Yes-Explain below...

- B. Do you or any of your family members compete, directly or indirectly, with the District in the purchase or sale of ambulatory/ancillary medical services?

☐ No

☐ Yes-Explain below...

- C. Do you or any of your family members render, or within the last one (1) year have you or any of your family members rendered directive, managerial, consultative or other services to the District or on behalf of any outside entity that does business with the District?

☐ No

☐ Yes-Explain below...

- D. Do you or any of your family members employ or otherwise retain, or within the last one (1) year have you or any of your family members employed or otherwise retained, personnel of the District for work on non-district business done outside of the District?

☐ No

☐ Yes-Explain below...

BOARD CONFLICT OF INTEREST QUESTIONNAIRE

- E. Do you or any of your family members serve any other organization (public, private, or charitable) as a director, trustee, officer, or in a similar capacity?

☐ No

☐ Yes- Explain below...

III. GIFTS, GRATUITIES, AND ENTERTAINMENT

- A. Have you or any of your family members in the last one (1) year accepted gifts, entertainment, benefits, discounts, or other favors valued in excess of One Hundred Dollars (\$100.00) from any outside entity that does or is seeking to do business with the District?

☐ No

☐ Yes-Explain below...

- B. Have you or any of your family members accepted any gifts, honoraria, perquisites, favor, or benefits valued in excess of One Hundred Dollars (\$100.00) from suppliers or vendors of the District?

☐ No

☐ Yes-Explain below...

In the space below, I have disclosed any other interest, activities, investments, or involvement of or concerning me or my family members that I believed might be considered relevant for purposes of disclosure of all actual, apparent, or possible conflicts of interest, or I have indicated "none." (Use additional pages as necessary.)

ACKNOWLEDGMENT

I hereby state each of the following:

- (1) I have received a copy of the Conflicts of Interest Policy;
- (2) I have read and understand the Policy;
- (3) I agree to comply with the Policy;
- (4) I understand that the District is a special taxing district and that to maintain its status it must engage primarily in activities which accomplish its stated purposes;
- (5) I agree to report promptly to the Chief Compliance Officer any change in the responses to each of the foregoing questions which may result from changes in circumstances or any further financial interest, situation, activity, interest, or conduct that may develop before completion of my next annual questionnaire; and
- (6) The information contained herein is true and accurate to the best of my knowledge and belief as of this _____ day of _____, ____

Signed: _____

Print Name: _____